

TSC: Trustworthy and Scalable Cytometry

Mehdi Javanmard*, Mohsen Amini Salehi, and Saman Zonouz

*Stanford Genome Technology Center, Electrical and Computer Engineering at University of Miami
mehdij@stanford.edu, {m.aminisalehi, s.zonouz}@miami.edu

Abstract—Accurate flow cytometry analyses for disease diagnosis purposes requires powerful computational and storage resources that are rarely available in clinical settings. The emerging high-performance cloud computing technologies could potentially address the above-mentioned scalability challenge; however, potentially untrusted cloud infrastructures increases the security and privacy concerns significantly as the attackers may gain knowledge about the patient identity and medical information and affect the consequent course of treatment. In this paper, we present TSC, a trustworthy scalable cloud-based solution to provide remote cytometry analysis capabilities. TSC enables the medical laboratories to upload the acquired high-frequency raw measurements to the cloud for remote cytometry analysis with high-confidence data security guarantees. In particular, using fundamental cryptographic security solutions, such as the trusted platform module framework, TSC eliminates any possibility of unauthorized sensitive patient data exfiltration to untrusted parties, e.g., malicious or compromised cloud providers. Our evaluation results show that TSC effectively facilitates scalable and efficient disease diagnoses while preserving the patient privacy and treatment correctness.

I. INTRODUCTION

The holy grail of biomarker based disease diagnosis is advantageous not only because it enables early disease diagnosis, but also because it enables personalized medicine. The complete understanding of the patient's condition at the molecular level will allow for the ability of prescribing a course of treatment which has a higher probability of being successful compared to making a prescription solely based on the patients outwardly symptoms. The vision of personalized medicine can be made a reality through the use of ubiquitous inexpensive detectors for monitoring biomarker levels.

One of the most commonly used biomarkers for disease diagnosis and monitoring is through obtaining cell counts. This includes both infectious disease and cancer. For example, one of the most commonly used methods for diagnosing and monitoring HIV patients is through obtaining CD4 cell counts. Other prevalent infectious diseases include tuberculosis and Malaria. An important test for diagnosing tuberculosis involves counting lymphocytes, whereas monocyte counts can offer important information for diagnosis of malaria. In the case of monitoring therapy for cancer, the counting of erythrocytes, leukocytes, neutrophils, eosinophils, basophils, lymphocytes, monocytes, and platelets all provide relevant information. Thus, it is absolutely necessary to develop a disposable diagnostic tool, which can rapidly and accurately count cells.

Cell counting can either be performed electrically using coulter counting or optically using flow cytometry. Often

performing complete cell counts requires processing large volumes of test samples at high throughput. For example, to analyze a 10 ml blood sample for one hour would require sampling at least with a speed of 10 Msamples per second, generating Gbytes of data. Processing this data would require heavyweight digital filtering algorithms and also peak counting and analysis, all of which could be computationally expensive for a single embedded system. As a result, in scenarios such as this, secure transmission of the data to centralized servers, which would perform the necessary data processing, would be more efficient.

Recently, security in networked medical devices has become of concern both in terms of privacy of medical records, and also the threat of cyberattacks. In the context of cytometry, malicious altering of cell counts of patients can result in misdiagnosis and also can alter the course of therapy and treatment. In this paper, we present a software-based scheme for encryption of cytometry data to ensure secure transmission from a low cost ubiquitous biosensor to the cloud where post-processing of the data is rapidly performed. The cloud computational resources in TSC make use of cryptographic secure solutions such as trusted platform module (TPM) solutions to provide an efficient trustworthy execution and data processing environment for cytometry data analysis.

II. RELATED WORK

Conventional FACS is costly and does not meet the low cost and limited resource settings constraints, due its need for expensive labels and bulky optical equipment. As an alternative to lowering the optics cost, a promising strategy to reduce the instrumentation cost associated with cytometers is to move towards purely electrical detection, similar to what has been done previously with protein detection [5]. However, up until now, much effort has been made towards the development of low-cost bio-optical detection technologies, in particular by Ozcan et al. [2], [3], [6] Some promising approaches include the use of microfluidic channels integrated on miniaturized cameras, such as those integrated into cellphones, for ultra cheap cytometers [24]. Electrical detection can provide a more cost-effective solution to cytometry. The lock-in impedance measurement, which involves a two electrode excitation and measurement system is the most common method for performing cytometry [3], [24]. In this method, one electrode is excited with an AC voltage, and the resulting current signal flowing from the second electrode is measured through subsequent

amplification, mixing (with a local oscillation signal), and low pass filtering stages. Hywel Morgan et al. demonstrated single cell dielectric spectroscopy using a microfabricated flow cytometer based on a multi-frequency lock-in technique [11], [22]. Saleh and Sohn [14]–[16], [21] used a four electrode sensing method for protein detection application. Other groups have improved both the impedance sensing scheme and throughput of the micro-fabricated Coulter counter. [25] Wu et al. [27] incorporated symmetric mirror channels in their sensing scheme. The use of symmetric mirror channels in the sensing scheme resulted in an improvement in the measured signal-to-noise ratio allowing for detection of 520 nm-diameter particles in a sensing pore of $50 \times 16 \times 20 \text{ m}^3$. A four aperture design was also demonstrated [7], [8] capable of detecting and counting micron-sized particles through the corresponding sensing channels simultaneously. A high bandwidth RF probe was used to report a counting rate of 30 kHz in a single microfluidic channel [26]. Other interesting techniques such as the use of three-dimensional hydrodynamic focusing [18] have been proposed, creating a virtual narrow wall for maximizing detection sensitivity without risking the clogging of the channel. Recently demonstrated coulter counter applications include determination of the spermatozoa concentration in semen [19] as well as quantification of red blood cells in diluted whole blood using a microfluidic chip with polyelectrolytic gel electrodes (PGEs) [9]. In all of the above cases, massive amounts of data must be processed in order to attain accurate particle counts. In order to maintain the low cost of the cytometer, readout instrumentation, the implementation of the various data processing algorithms can be more rapidly performed on data processing servers, as opposed to performing the data analysis on an integrated embedded system.

On the other hand, over the past few years, there has been an increasing interest in remote secure computation solutions. Almost all the past efforts could be categorized into two groups. First, there are cryptographic methods [20] that make use of mathematical primitives to provide data processing capabilities over encrypted data in cloud without having to download the data locally. Boneh et al. [4] proposes a searchable encryption scheme using asymmetric cryptography. Using their proposed approach, the users could query for particular encrypted records via conjunctive range, subset, and comparison logical predicates. Shi et al. [20] later introduce multi-dimensional range querying framework over encrypted data that slightly improves the time complexity of [4] in particular cases. Second, there are system solutions for remote secure computation that focus on development of effective trust management engines. The most well-known recent methods make use of trusted platform module [12] in cloud infrastructures such the clients could remotely verify the execution environment and lack of unknown (and potentially malicious) processes, rather than protecting data itself. The major benefit of system solutions over the cryptographic secure computation techniques is that system techniques allow a lot more data processing and calculus functionalities whereas the cryptographic techniques facilitate particular

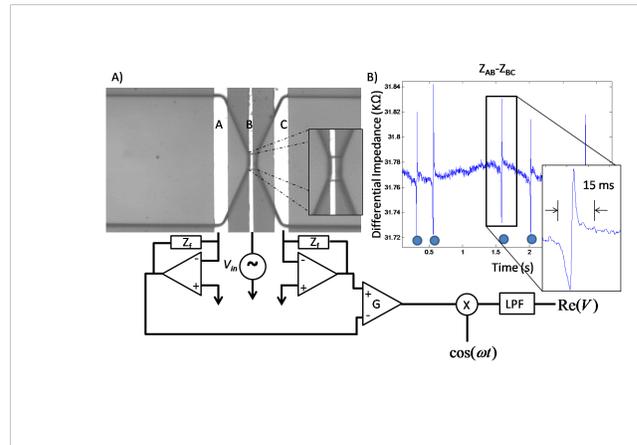


Fig. 1. Medical Data Acquisition Sensor Design and Development

functions such as search and addition.

III. THREAT MODEL

We describe our assumptions about the threat model against TSC. The cloud infrastructure used in TSC is assumed to be potentially untrusted that may be caused by either a malicious cloud provider [1], [23] or an attacker that manages to compromise the cloud infrastructure through exploitations of system vulnerabilities. In either case, to ensure the trustworthiness of cloud-based cytometry, TSC needs to deploy relevant security protection mechanisms. The trusted computing base [10] in TSC constitutes the cytometry data acquisition device that need to be trusted. This is practically a reasonable assumption as the device resides in the medical lab where the patient data is collected.

IV. TSC DESIGN

A. Cytometry Data Acquisition

In the context of protein biomarker detection, we proposed a decoupled architecture for protein capture, and impedance sensing resulting in at least 10x improvement in sensitivity compared to fluorescence based protein detection techniques. In this architecture, we inject test sample into the capture chamber, thus capturing target protein, then we incubate micron sized beads coated with secondary antibodies which bind to the proteins forming sandwich immunocomplexes. We wash off the loosely bound beads, and finally elute the specifically bound beads flowing them through the impedance cytometer detecting them one by one, thus quantifying protein abundance.

The cytometer was designed to have single bead sensitivity. We used a three electrode differential lock-in measurement (see Figure 1) architecture where the impedance is measured between electrodes A and B, and also between B and C, and the difference between the two is subtracted. An AC voltage is applied to electrode B, and electrodes A and C are tied to transimpedance amplifiers, the output of which feeds into a differential amplifier and then an amplitude demodulator. The resulting signal from each bead passing through is a signature negative and then positive peak. Figure

1 shows impedance data corresponding to a series of beads passing through the micropore singly.

Optical microscopy was simultaneously used to verify that the peaks are indeed due to single beads rather than other potential spurious sources of interference. Our sensing structure can achieve single-bead sensitivity. The output of the demodulator is then converted from analog to digital. We apply a 100mV 700 kHz AC input voltage. The low pass filter is set to 200 Hz, and the analog to digital sampling frequency is 28.8 kilosamples/s. In order to quantify the beads, a drift subtraction algorithm is applied to the raw data, followed by match filter, and then finally a peak counting algorithm. Performing these computations efficiently is difficult on a low power embedded system, thus we propose transmitting the data wirelessly to a central server securely, so that these post-processing computations can be performed rapidly.

B. Trustworthy Cloud-Based Analysis

TSC provides a trustworthy and scalable cytometry analysis capability through practical security protection mechanisms and high-performance ubiquitous cloud-based processing services. To provide secure cloud-based computation, TSC starts with remote verification of the offered cloud resources' integrity before their deployment for cytometry analyses. TSC ensures that the patients' data would not be uploaded and processed on the cloud unless when the cloud environment is proved to be untampered with by unauthorized parties, e.g., malicious cloud providers.

To prove the cloud integrity, TSC uses a remote attestation technique [17] that enables authorized remote users in the cytometry data acquisition laboratory to identify unauthorized modifications to their cloud-based execution environments, i.e., virtual machines (VMs). To that end, TSC connects to a trusted platform module (TPM) [13], a dedicated embedded microprocessor, that creates a hash key fingerprint of the hardware and software stack that booted on the cloud-based computer. Every TPM chip has a unique and secret cryptographic RSA key burnt in, and hence it is capable of performing platform authentication. After a secure connection between TSC and the cloud-based TPM is established, TSC authenticates the hardware and software stack running on the cloud. TSC follows a successful TPM-based cloud platform authentication by uploading the acquired cytometry patient data within the laboratory to the cloud. Needless to mention, the data are encoded using symmetric AES-512 encryption before the upload to prevent man-in-the-middle intrusions. Upon reception of the data, the verified cloud platform decrypts the measurements and starts cytometry analyses such as coulter counting and peak detection for disease diagnosis purposes. Consequently, TSC's main objective from TPM-based remote attestation is to restrict upload of the patient's data to the cloud-based VMs whose fingerprints are verified to be intact.

In our real-world implementations, we used an extended TPM for VMs on a cloud hypervisor, so-called *vTPM* [13], that transparently allows the users and applications to attest

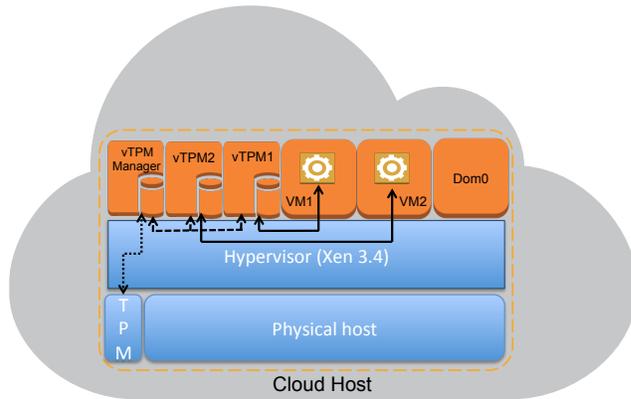


Fig. 2. Architectural view of the implementation that enables remote attestation for the application within the Virtual Machines (VMs).

not only a cloud-based physical computer but also the software stack within the VMs running on that computer. Figure 2 shows our implementation setup.

V. EVALUATIONS

To utilize vTPM for our VMs, we used a quad-core and TPM-enabled server with Ubuntu 12.4 operating system as the cloud-based computer. We installed a Xen 4.3 hypervisor with XSM/Flask security framework enabled. On Xen, we configured Dom0 as the default VM to manage other user-created VMs, so-called DomU. We installed a customized Linux kernel 3.7.1 for Dom0 that supports vTPM, and compiled a Linux kernel 3.7.9 as DomU that can be instantiated multiple times by the user. After successful creation of vTPM Manager, we built vTPM instances with their corresponding disk images. Finally, in the VM template of the guest VM, we associate the VM to a created vTPM. This enables the cloud user to attest the software stack on the VM before uploading and processing the patient's data.

Processing of the collected patient's data can be significantly expedited through parallelizing of the data processing. As mentioned earlier, we can utilize Cloud resources as a powerful and ubiquitous platform for parallel processing of the data. However, to address the security concerns involved in processing of the patient's data on the Cloud, in this research, we have deployed virtual TPM (vTPM) [13] on the VMs provided by the Cloud providers. Using vTPM on the VMs assures that there has not been any malicious modification in the platform stack of the VMs. Nonetheless, vTPM imposes performance overhead to the VMs.

To evaluate the performance gain of parallel processing of the patient's data on vTPM-enabled VMs, we set up a private Cloud platform. We also implemented a parallel version of our data processing to be able to execute the data processing on a multi-core architecture. Specifically, in this experiment, we have evaluated the performance of processing data on VMs with different number of cores (from one core to four cores) in two scenarios: without vTPM and with vTPM enabled on the VMs. The result of the experiment is illustrated in Figure 3.

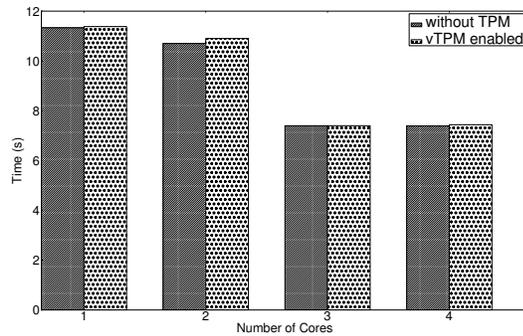


Fig. 3. Time to process patient's data (in seconds) on VMs with different number of cores in two scenarios: without vTPM and with vTPM enabled on the VMs

We notice that, in general, as the number of cores increases, the time to process the patient's data decreases. The nature of our collected data was in a way that the best parallelization performance is obtained when three cores are utilized for processing. In particular, we notice that the processing time drops from 11.30 seconds, when one core is utilized, to 7.4 seconds, when three cores are utilized. The reason for not getting further performance improvement is that the process is IO intensive (i.e., there are many Input/Output (IO) operations in the processing of the patient's data) that operates as a bottleneck in the parallel processing of the data.

Additionally, we observe that in the scenario where vTPM was enabled on the VMs, the processing time increases slightly. The increase is due to the performance overhead imposed by the operation of vTPM. As demonstrated in Figure 2, the performance overhead is because of creating vTPM and vTPM manager virtual machines to enable a vTPM for a VM. However, as we can see in Figure 3, the performance loss is at most 0.2 seconds when two cores are utilized, which is insignificant.

VI. CONCLUSIONS

In this paper, we presented TSC, a trustworthy and scalable cytometry framework that enables high performance flow cytometry analysis for disease diagnosis purposes. TSC makes use of cloud computing infrastructures to satisfy high computation and storage requirements of coulter counting and peak detection procedures. To guarantee zero information leakage and patient privacy violations, TSC employs trusted platform module and information flow tracking solutions. Our evaluation results are very promising and show that TSC provide medical laboratories with an efficient and trustworthy environment for remote electronic patient data processing capabilities within a public cloud. Consequently, we conclude by noting that the processing of the patient's data can be expedited by utilizing Cloud resources without any security concern for the patient's data.

REFERENCES

[1] Mohsen Amini Salehi, Thomas Caldwell, Alejandro Fernandez, Emmanuel Mickiewicz, David Redberg, Eric W. D. Rozier, and Saman

Zonouz. RESeED: Regular Expression Search over Encrypted Data in the Cloud. In *Proceedings of the 7th IEEE Cloud conference*, Cloud '14, June 2014. to Appear.

[2] Gabriel Biener, Alon Greenbaum, Serhan O Isikman, Kelvin Lee, Derek Tseng, and Aydogan Ozcan. Combined reflection and transmission microscope for telemedicine applications in field settings. *Lab on a chip*, 11(16):2738–2743, 2011.

[3] Waheb Bishara, Serhan O Isikman, and Aydogan Ozcan. Lensfree optofluidic microscopy and tomography. *Annals of biomedical engineering*, 40(2):251–262, 2012.

[4] Dan Boneh and Brent Waters. Conjunctive, subset, and range queries on encrypted data. In *Theory of cryptography*, pages 535–554. Springer, 2007.

[5] Marta de Antonio, Josep Lupon, Amparo Galan, Joan Vila, Agustin Urrutia, and Antoni Bayes-Genis. Combined use of high-sensitivity cardiac troponin t and n-terminal pro-b type natriuretic peptide improves measurements of performance over established mortality risk factors in chronic heart failure. *American heart journal*, 163(5):821–828, 2012.

[6] Serhan O Isikman, Waheb Bishara, Onur Mudanyali, Ikbal Sencan, Ting-Wei Su, Derek K Tseng, Oguzhan Yaglidere, Uzair Sikora, and Aydogan Ozcan. Lensfree on-chip microscopy and tomography for biomedical applications. *Selected Topics in Quantum Electronics, IEEE Journal of*, 18(3):1059–1072, 2012.

[7] Ashish V Jagtiani, Rupesh Sawant, and Jiang Zhe. A label-free high throughput resistive-pulse sensor for simultaneous differentiation and measurement of multiple particle-laden analytes. *Journal of Micromechanics and Microengineering*, 16(8):1530, 2006.

[8] Ashish V Jagtiani, Jiang Zhe, Jun Hu, and Joan Carletta. Detection and counting of micro-scale particles and pollen using a multi-aperture coulter counter. *Measurement Science and Technology*, 17(7):1706, 2006.

[9] Kwang Bok Kim, Honggu Chun, Hee Chan Kim, and Taek Dong Chung. Red blood cell quantification microfluidic chip using polyelectrolytic gel electrodes. *Electrophoresis*, 30(9):1464–1469, 2009.

[10] Wenbo Mao, Haibo Chen, Jun Li, and Jingcheng Zhang. Software trusted computing base, 2012. US Patent 8,176,336.

[11] Hywel Morgan, Tao Sun, David Holmes, Shady Gawad, and Nicolas G Green. Single cell dielectric spectroscopy. *Journal of Physics D: Applied Physics*, 40(1):61, 2007.

[12] Ronald Perez, Reiner Sailer, Leendert van Doorn, et al. vtpm: virtualizing the trusted platform module. In *Proc. 15th Conf. on USENIX Security Symposium*, pages 305–320, 2006.

[13] Ronald Perez, Reiner Sailer, Leendert van Doorn, et al. vtpm: virtualizing the trusted platform module. In *Proceedings of the 15th Conference on USENIX Security Symposium*, pages 305–320, 2006.

[14] OA Saleh and LL Sohn. Quantitative sensing of nanoscale colloids using a microchip coulter counter. *Review of Scientific Instruments*, 72(12):4449–4451, 2001.

[15] Omar A Saleh and Lydia L Sohn. An artificial nanopore for molecular sensing. *Nano Letters*, 3(1):37–38, 2003.

[16] Omar A Saleh and Lydia L Sohn. Direct detection of antibody-antigen binding using an on-chip artificial pore. *Proceedings of the National Academy of Sciences*, 100(3):820–824, 2003.

[17] Nuno Santos, Rodrigo Rodrigues, Krishna P Gummadi, and Stefan Saroiu. Policy-sealed data: a new abstraction for building trusted cloud services. In *Proceedings of the 21st USENIX conference on Security symposium*, Security'12, pages 10–24, 2012.

[18] R Scott, P Sethu, and CK Harnett. Three-dimensional hydrodynamic focusing in a microfluidic coulter counter. *Review of Scientific Instruments*, 79(4):046104, 2008.

[19] Loes I Segerink, Ad J Sprenkels, Paul M ter Braak, Istvan Vermes, and Albert van den Berg. On-chip determination of spermatozoa concentration using electrical impedance measurements. *Lab on a Chip*, 10(8):1018–1024, 2010.

[20] Elaine Shi, John Bethencourt, T-HH Chan, Dawn Song, and Adrian Perrig. Multi-dimensional range query over encrypted data. In *Security and Privacy, 2007. SP'07. IEEE Symposium on*, pages 350–364. IEEE, 2007.

[21] LL Sohn, OA Saleh, GR Facer, AJ Beavis, RS Allan, and DA Noterman. Capacitance cytometry: Measuring biological cells one by one. *Proceedings of the National Academy of Sciences*, 97(20):10687–10690, 2000.

[22] Tao Sun, Shady Gawad, Nicolas G Green, and Hywel Morgan. Dielectric spectroscopy of single cells: time domain analysis using maxwell's mixture equation. *Journal of Physics D: Applied Physics*, 40(1):1, 2007.

[23] Yang Tang, Patrick P. C. Lee, John C. S. Lui, and Radia Perlman. Secure overlay cloud storage with access control and assured deletion. *IEEE Transactions on Dependable Secure Computing*, 9(6):903–916, Nov 2012.

[24] Derek Tseng, Onur Mudanyali, Cetin Oztoprak, Serhan O Isikman, Ikbal Sencan, Oguzhan Yaglidere, and Aydogan Ozcan. Lensfree microscopy on a cellphone. *Lab on a Chip*, 10(14):1787–1792, 2010.

[25] Lisen Wang, Lisa A Flanagan, Noo Li Jeon, Edwin Monuki, and Abraham P Lee. Dielectrophoresis switching with vertical sidewall electrodes for microfluidic flow cytometry. *Lab on a Chip*, 7(9):1114–1120, 2007.

[26] DK Wood, S-H Oh, S-H Lee, HT Soh, and AN Cleland. High-bandwidth radio frequency coulter counter. *Applied Physics Letters*, 87(18):184106, 2005.

[27] Xudong Wu, Yuejun Kang, Yao-Nan Wang, Dongyan Xu, Deyu Li, and Dongqing Li. Microfluidic differential resistive pulse sensors. *Electrophoresis*, 29(13):2754–2759, 2008.